Becejpt date: 11/24/2010

10564575 - GALL:01742

Doc description: Information Disclosure Statement (IDS) Filed

Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 10564575 Filing Date 2006-01-13 First Named Inventor Neil Hopkinson Art Unit 1791 Examiner Name LEO B TENTONI Attorney Docket Number SWIN.3428

U.S.PATENTS								Remove			
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	)ate	of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear			
	1										
If you wisl	h to add	d additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.		Add		
			U.S.P	ATENT	APPLIC	CATION PUBL	LICATIONS		Remove		
Examiner Initial*	Cite N	e No Number   Rind   Publication   Name of Patentee of Applicant   Relev		Releva		Lines where es or Relev					
	1										
If you wish to add additional U.S. Published Application citation information please click the Add button. Add											
FOREIGN PATENT DOCUMENTS Remove											
Examiner Initial*		Foreign Document Number³	Country Code <sup>2</sup>		Kind Code <sup>4</sup>	Publication Date	Name of Patentee Applicant of cited Document	or I	vhere Rele	or Relevant	T5
	1	2005090055	WO		A1	2005-09-29	MONSHEIMER				
	2	2002264221 JP A 2002-09-18		2002-09-18	KUBO						
	3	1737646	EP		A1	2007-01-03	MONSHEIMER				

Receipt	date	e: 11/24/2010	<u> </u>	Applic	cation N	lumber		10564575 105	564575 - GAU: 1	742	
, to compete distance in the first of the fi				Filing Date				2006-01-13			
INFORMATION DISCLOSURE						Inventor	Neil I	Hopkinson			
STATEMENT BY APPLICANT				Art Unit				1791			
( Not for submission under 37 CFR 1.99)					iner Na	me	LEO	B TENTONI			
					ney Doc	ket Numb	er	SWIN.3428			
	4	98051464	wo		A1	1998-11-1	9 ι	ARSSON			
	5	1459871	EP		A2	2004-09-2	2 [	DEGUSSA			
	6	102004012682	DE		A1	2005-10-0	6 [	DEGUSSA			
	7	0882568	EP		A2	1998-12-0	9 I	HOECHSMANN			
If you wis	h to ac	dd additional Foreig	ın Patent Do	cument	citation	informatio	n plea	ase click the Add buttor	1 Add		
			NON	I-PATEI	NT LITE	RATURE	DOC	UMENTS	Remove		
Examiner Initials*	Cite No		journal, seri	al, symp	osium,	catalog, et		ne article (when appropi ite, pages(s), volume-is	, ,	T5	
	1	HOLM FLEISCHER, European Search Report for EP 04743477.4, August 27, 2010.									
If you wis	h to ac	dd additional non-p	atent literatu	re docu	ment cit	tation infor	matio	n please click the Add k	outton Add		
				EX	AMINE	R SIGNAT	URE				
Examiner Signature /Leo B. Tentoni/ Date Considered 11/29/2010											
								mance with MPEP 609 th next communication	. Draw line through a		
Standard ST	Г. <mark>3</mark> ). <sup>3</sup> F	or Japanese patent doo	cuments, the in	dication of	the year	of the reign of	f the E	office that issued the docume mperor must precede the ser ard ST.16 if possible. <sup>5</sup> Applic	ial number of the patent doc	ument.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /LBT/

English language translation is attached.

Receipt date: 11/24/2010	Application Number		10564575	10564575 - GAU: 1742	
NIEGONA 710 N DIGGI GOLIDE	Filing Date		2006-01-13		
INFORMATION DISCLOSURE	First Named Inventor Neil H		Hopkinson		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1791		
(Notion Submission under or of it 1.00)	Examiner Name	LEO E	O B TENTONI		
	Attorney Docket Numb	er	SWIN.3428		

	CERTIFICATION STATEMENT								
Ple	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
×	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OF	OR								
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached certification statement.								
	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.								
SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.									
Sig	nature	/John Smith-Hill/	Date (	YYY-MM-DD)	2010-11-24				
Nar	ne/Print	John Smith-Hill	Registi	ation Number	27730				
		•	•		•				

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Receipt date: 11/24/2010 10564575 - GAU: 1742

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.